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| FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE<br>(REV. 2-2005)  |   | ATTORNEY'S DOCKET NUMBER<br>278483US6PCT                             |
| TRANSMITTAL LETTER TO THE UNITED STATES<br>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br>CONCERNING A SUBMISSION UNDER 35 U.S.C. 371   |   | U.S. APPLICATION NO. (If known, see 37 CFR 1.5)<br><b>10/551 440</b> |
| INTERNATIONAL APPLICATION NO.<br>PCT/US04/07707  | INTERNATIONAL FILING DATE<br>5 April 2004 | PRIORITY DATE CLAIMED<br>5 April 2003                                |
| TITLE OF INVENTION<br>GENERATING ROYALTY REVENUE USING INTELLECTUAL PROPERTY   |   |  |
| APPLICANT(S) FOR DO/EO/US<br>Luis J. DIAZ et al.   |   |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  |   |  |
| <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li><input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li><input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.</li> <li><input type="checkbox"/> The US has been elected (Article 31).</li> <li><input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2))             <ol style="list-style-type: none"> <li><input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li><input type="checkbox"/> has been communicated by the International Bureau.</li> <li><input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li><input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).             <ol style="list-style-type: none"> <li><input type="checkbox"/> is attached hereto.</li> <li><input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li><input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))             <ol style="list-style-type: none"> <li><input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li><input type="checkbox"/> have been communicated by the International Bureau.</li> <li><input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li><input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li><input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li><input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</li> <li><input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</li> <li><input type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409).</li> <li><input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210).</li> </ol> |   |  |
| Items 13 to 23 below concern document(s) or information included:  |   |  |
| <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li><input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li><input type="checkbox"/> A <b>FIRST</b> preliminary amendment.</li> <li><input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li><input type="checkbox"/> A substitute specification.</li> <li><input checked="" type="checkbox"/> A power of attorney and/or change of address letter.</li> <li><input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13/ter.2 and 37 CFR 1.821 - 1.825.</li> <li><input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</li> <li><input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).</li> <li><input type="checkbox"/> Express Mail Label No.</li> <li><input checked="" type="checkbox"/> Other items or information:</li> </ol>  |   |  |
| Application Data Sheet<br>PCT/IB/304<br>PTO-1449   |   | Notice of Priority<br>PCT/IB/308<br>Reference Cited (1)              |

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|--|--------------|--|----------------------------|--------------------------|---------|
| U.S. APPLICATION NO (if known, see 37 CFR 1.5)   |              | INTERNATIONAL APPLICATION NO.  |                            | ATTORNEY'S DOCKET NUMBER |         |
| <b>10/551440</b>   |              | <b>PCT/US04/07707</b>  |                            | <b>278483US6PCT</b>      |         |
| The following fees are submitted:  |              |  |                            |                          |         |
| 24. <input checked="" type="checkbox"/> Basic national fee ..... \$300   |              |  |                            |                          |         |
| 25. <input checked="" type="checkbox"/> Examination fee<br>If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4). ..... \$100<br>All other situations ..... \$200   |              |  |                            |                          |         |
| 26. <input checked="" type="checkbox"/> Search fee .....<br>Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority ..... \$100<br>International Search Report prepared and provided to the Office ..... \$400<br>All other situations ..... \$500 |              |  |                            |                          |         |
| <b>TOTAL OF 24, 25 and 26 =</b>  |              |  |                            |                          |         |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.   |              |  |                            |                          |         |
| Total Sheets   | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole) |                            | RATE                     |         |
| - 100 =  | 0 /50 =      | 0  |                            | x \$250.00               | \$ 0.00 |
| Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).  |              |  |                            |                          |         |
| CLAIMS   | NUMBER FILED | NUMBER EXTRA   | RATE                       |                          |         |
| Total claims   | 16 - 20 =    | 0  | x \$50.00                  | \$ 0.00                  |         |
| Independent claims   | 2 - 3 =      | 0  | x \$200.00                 | \$ 0.00                  |         |
| MULTIPLE DEPENDENT CLAIMS (if applicable)  |              |  | <input type="checkbox"/> + | \$360.00                 | \$ 0.00 |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>   |              |  |                            |                          |         |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.   |              |  |                            |                          |         |
| <b>SUBTOTAL =</b>  |              |  |                            |                          |         |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).  |              |  |                            |                          |         |
| <b>TOTAL NATIONAL FEE =</b>  |              |  |                            |                          |         |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property + \$ 0.00  |              |  |                            |                          |         |
| <b>TOTAL FEES ENCLOSED =</b>   |              |  |                            |                          |         |
| <b>Amount to be</b> \$   |              |  |                            |                          |         |
| <b>Amount to be</b> \$   |              |  |                            |                          |         |
| a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.   |              |  |                            |                          |         |
| b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.   |              |  |                            |                          |         |
| c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>15-0030</u> . A duplicate copy of this sheet is enclosed.  |              |  |                            |                          |         |
| d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.  |              |  |                            |                          |         |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.   |              |  |                            |                          |         |
| SEND ALL CORRESPONDENCE TO:  |              |  |                            |                          |         |
| Customer Number  |              |  |                            |                          |         |
| 22850  |              |  |                            |                          |         |
| SIGNATURE  |              |  |                            |                          |         |
| Surinder Sachar  |              |  |                            |                          |         |
| Registration No. 34,423  |              |  |                            |                          |         |
| NAME   |              |  |                            |                          |         |
| Gregory J. Maier   |              |  |                            |                          |         |
| 25,599   |              |  |                            |                          |         |
| REGISTRATION NUMBER  |              |  |                            |                          |         |